

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11

Applicant: Van Rooijen *et al.*

Serial No.: 10/032,201

Filed: December 19, 2001

For: **METHODS FOR THE PRODUCTION OF
MULTIMERIC PROTEIN COMPLEXES,
AND RELATED COMPOSITIONS**

Art Unit: 1638

Examiner: Not yet assigned



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TRANSMITTAL LETTER

Commissioner for Patents
U.S. Patent and Trademark Office
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Transmitted herewith are a Supplemental Information Disclosure
Statement, Form PTO-1449 (1 page), a Statement pursuant to 37 C.F.R.
§1.97(e), and a copy of the cited reference for filing in connection with the
above-identified application. If no proper payment is enclosed herewith, the
Commissioner is authorized to charge Deposit Account No. 50-1213, for the
appropriate fee as stated below. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fee, including that submitted herewith if the attached
check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this
and the attached papers, or with this application during its entire pendency to or to credit any overpayment to
Deposit Account No. 50-1213.

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:
Robert T. Ramos
Registration No. 37,915

Attorney Docket 38814-351B
Address all correspondence to:
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN
ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents
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Dear Sir:

This Supplemental Information Disclosure Statement is filed pursuant to 37 C.F.R. §1.97(c) and includes a certification in accordance with 37 C.F.R. §1.97(e). The certification applies to all references listed on Form PTO-1449 (1 page), provided herewith. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98.

USSN 10/032,201
Van Rooijen *et al.*
Supplemental IDS

The enclosed references were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement. Form PTO-1449 (1 page), a copy of the cited document, and a copy of the communication, the Written Opinion for the international application PCT/US 01/50240, are provided herewith. The document listed on the Form PTO-1449 and supplied herewith is in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.


Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

* * *

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

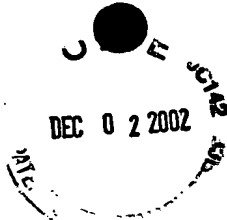
By:



Robert T. Ramos

Registration No. 37,915

Attorney Docket 38814-351B
Address all correspondence to:
Stephanie L. Seidman
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4350 La Jolla Village Drive, 7th Floor
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**STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 C.F.R. § 1.97(e)**

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Dear Sir:

1) This Statement is being made for the Supplemental Information
Disclosure Statement accompanying this Statement.

2) I, Robert Ramos, certify that each item of information contained in
the accompanying Supplemental Information Disclosure Statement was cited in
a communication dated November 11, 2002, from the International Searching
Authority in connection with PCT International Patent Application No.
PCT/US01/50240, which claims priority to U.S. Application Serial No.
10/006,038. which is a priority application to U.S. Application Serial No.
10/032,201. Thus, each item of information was cited in a communication
from a foreign patent office in a counterpart foreign application not more than
three months prior to the filing of the attached Supplemental Information

USSN 10/032,201
Van Rooijen *et al.*
Statement for Supplemental IDS


Disclosure Statement.

3) The person making this Statement is an attorney of record in the above-captioned application and signs below on the basis of information in the attorney's file.

* * *

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:


Robert T. Ramos
Registration No. 37,915

Attorney Docket 38814-351B
Address all correspondence to:
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Telephone: (858) 450-8400
Facsimile: (858) 587-5360
E-mail: sseidman@HEWM.com

FORM PTO-1449 (Modified)

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ATTY. DOCKET NO.
38814-351BSERIAL NO.
10/032,201LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENTAPPLICANT
van Rooijen *et al.*FILING DATE
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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|--|-----------------|--|--|--|--|--|--|------|------|-------|--------------|----------------|
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUB CLASS | Translation Yes No | |
|--|---|-----------------|---|---|---|---|---|---|----------|---------|-------|--------------|-----------------------|--|
| | A | 9 | 8 | 5 | 3 | 6 | 9 | 8 | 12/02/98 | PCT | | | | |
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* An English Language Abstract is provided.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|---|---|
| B | Written Opinion dated November 11, 2002, for the International Patent Application No. PCT/US01/50240. |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.